### **Commission Disclosure - Mutual Funds**

This document includes the details of brokerage (up front and trail) which 29k receives as a distributor of mutual funds from the following:

- Birla Sun Life Asset Management Company Ltd.
- DSP BlackRock Investment Managers Pvt. Ltd.
- Franklin Templeton Investments
- HDFC Asset Management Company Ltd.
- ICICI Prudential Asset Management Company Ltd.
- Reliance Mutual Fund
- SBI Fund Management Pvt. Ltd.
- TATA Asset Management Company Ltd.

### Disclaimer:

This report includes only the Asset Management Companies (AMCs) that we are empaneled with.

Brokerage/ Commission structures given below are as per the latest structure received from the respective AMC by email. Commission are subjective to terms and conditions which will be disclosed upon request.

B-30 Cities: Other than Mumbai (Thane and Navi Mumbai inclusive), Delhi (including NCR), Bangalore, Kolkata, Chennai, Pune, Ahmedabad, Hyderabad, Baroda, Panjim, Jaipur, Lucknow, Surat, Kanpur Chandigarh Guwahati, Coimbatore, Ludhiana, Indore, Patna, Rajkot, Bhubaneshwar, Nashik, Cochin, Jamshedpur, Varanasi, Bhopal, Ranchi and Raipur.

Please mail to support@29kadvisers.com for terms and condition

## **Mutual Funds**

Aditya Birla Sun Life Mutual Fund



Structure effective 1st /		Trail	e (%) inclusive of all taxes
Category	Scheme Name	Trail 1st year onwards	B30 Special Incentive (Trail ) #
	EQUITY & HYBRII		
LSS	ABSL Tax Relief '96	0.75%	1.50%
arge Cap	ABSL Frontline Equity Fund	0.75%	1.50%
arge & Midcap	ABSL Equity Advantage Fund	0.85%	1.50%
Multicap	ABSL Equity Fund	0.80%	1.50%
Midcap	ABSL Midcap Fund	0.90%	1.50%
small Cap	ABSL Small Cap Fund	0.90%	1.50%
ocussed	ABSL Focused Equity Fund	0.85%	1.50%
/alue	ABSL Pure Value Fund	0.85%	1.50%
Balanced Advantage	ABSL Balanced Advantage Fund	0.90%	1.50%
Aggressive Hybrid	ABSL Equity Hybrid '95 Fund	0.80%	1.50%
Conservative Hybrid	ABSL Regular Savings Fund	0.75%	1.50%
quity Savings	ABSL Equity Savings Fund	1.00%	1.50%
Solution Based	ABSL Bal Bhavishya Yojna	1.25%	1.50%
Solution Based	ABSL Retirement Fund (30s & 40s Plan)	1.25%	1.50%
Solution Based	ABSL Retirement Fund (50s & 50s Debt Plan)	1.15%	1.00%
'hematic	ABSL Manufacturing Equity Fund	1.10%	1.50%
'hematic	ABSL India Gennext Fund	1.05%	1.50%
Thematic	ABSL Intl. Equity Fund Plan A	0.95%	1.50%
'hematic	ABSL Digital India Fund	1.10%	1.50%
'hematic	ABSL Intl. Equity Fund Plan B	1.05%	1.50%
hematic	ABSL MNC Fund	0.90%	1.50%
Dividend Yield	ABSL Dividend Yield Fund	1.05%	1.50%
ectoral	ABSL Infrastructure Fund	1.10%	1.50%
Sectoral	ABSL Banking & Financial Services Fund	0.95%	1.50%
Arbitrage	ABSL Arbitrage Fund	0.45%	0.00%
oF	ABSL Global Commodities Fund	0.25%	0.00%
oF	ABSL Comm Eq Fund Global Agri Plan	0.60%	0.00%
oF	ABSL Global Real Estate Fund	0.75%	0.00%
oF	ABSL Financial Planning FoF – Aggressive	0.65%	0.00%
FoF	ABSL Financial Planning FoF – Conservative	0.45%	0.00%
oF	ABSL Financial Planning FoF – Prudent	0.55%	0.00%
oF	ABSL Active Debt Multi Manager	0.45%	0.00%
oF	ABSL Asset Allocator Multi Manager	0.45%	0.00%
oF	ABSL Gold Fund	0.15%	0.00%
ndex	ABSL Index Fund	0.30%	0.00%
	DEBT	5.55/5	
iquid	ABSL Liquid Fund	0.05%	0.00%
Overnight	ABSL Overnight Fund	0.10%	0.00%
Money Market	ABSL Money Manager Fund	0.05%	0.00%
loater	ABSL Floating Rate Fund	0.05%	0.00%
Jltra Short Duration	ABSL Savings Fund	0.05%	0.00%
ow Duration	ABSL Low Duration Fund	0.70%	0.00%
hort Duration	ABSL Short Term Opportunities Fund	0.65%	0.25%
ynamic Bond	ABSL Dynamic Bond Fund	0.75%	0.00%
Aedium Duration	ABSL Medium Term Plan	0.70%	0.00%
redit Risk	ABSL Credit Risk Fund	0.70%	0.75%
Corporate Bond	ABSL Corporate Bond Fund	0.15%	0.75%
ilt	ABSL Corporate Bond Fund  ABSL Government Securities Fund	0.15%	0.00%
	ABSL Banking & PSU Debt Fund	0.20%	
Banking & PSU Debt Medium to Long Term	-		0.00%
viewing to robe letin	ABSL Income Fund	0.75%	0.00%

# DSP BLACKROCK

DSP Mutua	l Fund						
Brokerage Period: From	То			DO	n		
01st Jan 2020	31st Dec 202	0		DS	P		
Distributor:					MIL.	TUAL FL	IND
Transaction Types: Lump Sum Pur	rchases; Switch In	s, SIP/STP			MO	I UAL FO	7140
		Tuell De	- k 4	Tue II Du	-1	Tue II Du	-1
Type of Brokerage	B 30 Special		okerage for 0 & B 30		okerage T 30	Trail Brokerage for: B 30	
Location	Incentive- Tra	il		Total	Total	Total	Total
Transaction Limit (Rs)	(%p.a.) 1st Year	Year 1	Year 2 & Onwards	1 Yr Payout	3 Yr	1 Yr	3 Yr Payout
Hybrid							
DSP Equity & Bond Fund	1.50%	0.95%	0.95%	0.95%	2.85%	2.45%	4.35%
DSP Equity Savings Fund	1.50%	1.10%	1.10%	1.10%	3.30%	2.60%	4.80%
DSP Regular Savings Fund Fund	1.75%	1.20%	1.20%	1.20%	3.60%	2.95%	5.35%
DSP Dynamic Asset Allocation Fund	1.50%	1.10%	1.10%	1.10%	3.30%	2.60%	4.80%
Equity							
DSP Equity Opportunities Fund	1.50%	0.90%	0.90%	0.90%	2.70%	2.40%	4.20%
DSP Focus Fund	1.75%	1.00%	1.00%	1.00%	3.00%	2.75%	4.75%
DSP Equity Fund	1.75%	1.00%	1.00%	1.00%	3.00%	2.75%	4.75%
DSP Top 100 Equity Fund	1.75%	1.00%	1.00%	1.00%	3.00%	2.75%	4.75%
DSP India TIGER Fund	1.75%	1.10%	1.10%	1.10%	3.30%	2.85%	5.05%
DSP Mid Cap Fund	1.75%	0.90%	0.90%	0.90%	2.70%	2.65%	4.45%
DSP Small Cap Fund	1.75%	0.90%	0.90%	0.90%	2.70%	2.65%	4.45%
DSP NRNE Fund	1.50%	1.25%	1.25%	1.25%	3.75%	2.75%	5.25%
DSP Healthcare Fund	0.75%	1.30%	1.30%	1.30%	3.90%	2.05%	4.65%
DSP Quant Fund	0.00%	0.65%	0.65%	0.65%	1.95%	0.65%	1.95%
Index Fund							
DSP Equal Nifty 50 Fund	0.00%	0.45%	0.45%	0.45%	1.35%	0.45%	1.35%
DSP Nifty 50 Index Fund	0.00%	0.15%	0.15%	0.15%	0.45%	0.15%	0.45%
DSP Nifty Next 50 Index Fund	0.00%	0.30%	0.30%	0.30%	0.90%	0.30%	0.90%
ELSS (Section 80 C)							
DSP Tax Saver Fund	1.75%	0.90%	0.90%	0.90%	2.70%	2.65%	4.45%
Fund Of Fund - International							
DSP Global Allocation Fund	1.50%	0.60%	0.60%	0.60%	1.80%	2.10%	3.30%
DSP US Flexible Equity Fund	1.50%	0.80%	0.80%	0.80%	2.40%	2.30%	3.90%
DSP World Agriculture Fund	1.50%	0.65%	0.65%	0.65%	1.95%	2.15%	3.45%
DSP World Gold Fund	1.50%	0.65%	0.65%	0.65%	1.95%	2.15%	3.45%
DSP World Mining Fund	1.50%	0.60%	0.60%	0.60%	1.80%	2.10%	3.30%
DSP World Energy Fund	1.50%	0.60%	0.60%	0.60%	1.80%	2.10%	3.30%
Arbitrage Fund							
DSP Arbitrage Fund	0.00%	0.55%	0.55%	0.55%	1.65%	0.55%	1.65%
Fixed Income Schemes							
DSP Bond Fund	0.00%	0.40%	0.40%	0.40%	1.20%	0.40%	1.20%
DSP Credit Risk Fund	1.50%	0.70%	0.70%	0.70%	2.10%	2.20%	3.60%
DSP Banking and PSU Debt Fund	0.00%	0.25%	0.25%	0.25%	0.75%	0.25%	0.75%
DSP Short Term Fund	0.00%	0.65%	0.65%	0.65%	1.95%	0.65%	1.95%
DSP Strategic Bond Fund	0.00%	0.65%	0.65%	0.65%	1.95%	0.65%	1.95%
DSP Government Securities Fund	0.00%	0.50%	0.50%	0.50%	1.50%	0.50%	1.50%
DSP 10Y G-Sec Fund	0.00%	0.20%	0.20%	0.20%	0.60%	0.20%	0.60%
DSP Ultra Short Fund	0.00%	0.70%	0.70%	0.70%	2.10%	0.70%	2.10%
DSP Low Duration Fund	0.00%	0.25%	0.25%	0.25%	0.75%	0.25%	0.75%
DSP Liquidity Fund	0.00%	0.05%	0.05%	0.05%	0.15%	0.05%	0.15%
DSP Savings fund	0.00%	0.20%	0.20%	0.20%	0.60%	0.20%	0.60%
DSP Overnight Fund	0.00%	0.05%	0.05%	0.05%	0.15%	0.05%	0.15%
DSP Corporate Bond Fund	0.00%	0.25%	0.25%	0.25%	0.75%	0.25%	0.75%
Schemes	T		Ev	it Load			
All Equity Funds		Holding period fr	om date of allotme	nt: <12 mon	ths - 1%; >	=12 month	ns - Nil

Schemes	Exit Load
All Equity Funds	Holding period from date of allotment: <12 months - 1%; >=12 months - Nil
All Hybrid & DSP DAAF Fund	Nil If the units redeemed or switched-out are upto 10% of the units purchased or switched-in within 12 months from the date of allotment Nil If units are redeemed or switched out on or after 12 months from the date of allotment  1% If units are redeemed or switched-out are in excess of 10% of the units purchased or switched-in within 12 months from the date of allotment
DSP Tax Saver Fund	Investments in DSP Tax Saver Fund are subject to lock-in period of 36 months from the allotment.
DSP Credit Risk Fund	Nil If the units redeemed or switched-out: on or after 12 months or are upto 10% of the units purchased or switched-in within 12 months from date of allotment  1% If units are redeemed/switched-out are in excess of 10% of the units purchased or switched-in within 12 months from date of allotment
DSP Bond Fund	Holding period from date of allotment: <=6 months - 0.25%; >6 months - Nil
DSP Arbitrage Fund	Holding period from date of allotment: <=30 calendar days - 0.25%; >30 calendar days - Nil
All other Fixed Income Funds, DSP Equal Nifty 50 Fund, DSP Nifty 50 Index Fund, DSP Nifty Next 50 Index Fund, DSP Quant Fund, DSP NRNE Fund and DSP FOF's (Overseas)	Nil





The Commission rates mentioned below are for the business to be mobilized during the period 01-Jan-2020 to 31-Mar-2020.

Fund Type, Fund Name, Plan	Category	Exit Load	Trail Applicable for T-30 & B-30 Day 1 onwards (p.a.)	Additional Trail for B-30 p.a. (applicable only for Year 1)	Total T30 payout in Year 1	Total B30 payout in Year 1 (D+E)
*A*	'В'	*C*	*D*	'E'	· Pr	"G"
A - Equity Funds						
1) Franklin India Focused Equity Fund (FIFEF)	Focused Fund	1.00% within one year of allotment	0.95%	1.50%	0.95%	2.45%
2) Franklin Asian Equity Fund (FAEF)	Thematic-Asian Equity Fund	1.00% within 3 years of allotment	1.30%	1.50%	1.30%	2.80%
3) Franklin Build India Fund (FBIF)	Thematic-Infrastructure Fund	1.00% within one year of allotment	1.10%	1.50%	1.10%	2.60%
4) Franklin India Index Fund NSE Nifty Plan (FIIF)	Index-Nifty	0.25% if the Units are redeemed/switched out within 7 days of allotment	0.30%	0.00%	0.30%	0.30%
5) Franklin India Equity Advantage Fund (FIEAF)	Large and Mid-Cap Fund	1.00% within one year of allotment	1.00%	1.50%	1.00%	2.50%
6) Franklin India Smaller Companies Fund (FISCF)	Small-Cap Fund	1.00% within one year of allotment	0.95%	1.50%	0.95%	2.45%
7) Templeton India Equity Income Fund (TIEIF)	Dividend Yield Fund	1.00% within one year of allotment	1.10%	1.50%	1.10%	2.60%
8) Franklin India Bluechip Fund (FIBCF)	Large-Cap Fund	1.00% within one year of allotment	0.95%	1.50%	0.95%	2.45%
9) Franklin India Prima Fund (FIPF)	Mid-Cap Fund	1.00% within one year of allotment	0.95%	1.50%	0.95%	2.45%
10) Franklin India Equity Fund (FIPP)	Multi-Cap Fund	1.00% within one year of allotment	0.95%	1.50%	0.95%	2.45%
11) Franklin India Technology Fund (FITF)	Thematic-Technology Fund	1.00% within one year of allotment	1.30%	1.50%	1.30%	2.80%
12) Franklin India Opportunities Fund (FIOF)	Thematic-Special Situations Fund	1.00% within one year of allotment	1.30%	1.50%	1.30%	2.80%
13) Templeton India Value Fund (TIVF)	Value Fund	1.00% within one year of allotment	1.30%	1.50%	1.30%	2.80%
B - Section 80C Funds						
1) Franklin India Taxshield (FIT)	ELSS Fund	NI	0.95%	1.50%	0.95%	2.45%
2) Franklin India Penaion Plan (FIPEP)	Retirement Fund	3.00% if redeemed before age of 58 years, ( subject to lock in period & target amount) Nil after age of 58 years.	1.40%	0.00%	1.40%	1.40%
C - Hybrid Funds		Lyears				
1) Franklin India Equity Savings Fund (FIESF)	Equity Savings Fund	1.00% within one year of allotment	1.40%	1.00%	1.40%	2.40%
2) Franklin India Equity Hybrid Fund (FIEHF)	Aggressive Hybrid Fund	1.00% within one year of allotment	1.15%	1.50%	1.15%	2.65%
3) Franklin India Debt Hybrid Fund (FIDHF)	Conservative Hybrid Fund	1.00% within one year of allotment	1.40%	0.00%	1.40%	1.40%
D - Fixed Income Funds	Conservative rightic rand	11.00 is well one year or allowers	1,8678	0.00%	1,467.0	1,40%
1) Franklin India Overnight Fund (FIONF)	Overnight Fund	NII	0.05%	0.00%	0.05%	0.05%
2) Franklin India Ultra Short Bond Fund - Super Institutional Plan (FIUBF)	Ultra Short Duration Fund	NIL	0.10%	0.00%	0.10%	0.10%
3) Franklin India Income Opportunities Fund (FIKOF)	Medium Duration Fund	3% within 12 months, 2.00% for > 12 months & within 18 months, 1.00% > 18 months but within 24 months.	0.95%	0.75%	0.95%	1.70%
4) Franklin India Credit Risk Fund (FICRF)	Credit Risk Fund	3% within 12 months, 2.00% for > 12 months & within 24 months, 1.00% > 24 months & within 36 months.	0.90%	1.00%	0.90%	1.90%
5) Franklin India Banking and PSU Debt Fund (FIBPDF)	Banking & PSU Fund	NIL	0.30%	0.00%	0.30%	0.30%
6) Franklin India Floating Rate Fund (FIFRF)	Floater Fund	NIL	0.60%	0.00%	0.60%	0.60%
7) Franklin India Savings Fund (FISPF)	Money Market Fund	NIL	0.15%	0.00%	0.15%	0.15%
8) Franklin India Low Duration Fund (FILDF)	Low Duration Fund	0.50% within 3 months of allotment	0.40%	0.00%	0.40%	0.40%
9) Franklin India Corporate Debt Fund (FICDF)	Corporate Bond Fund	NIL	0.55%	0.00%	0.55%	0.55%
10) Franklin India Liquid Fund (FILF)	Liquid Fund	NIL	0.05%	0.00%	0.05%	0.05%
11) Franklin India Government Securities Fund (FIGSF)	Gilt Fund	NIL	0.60%	0.00%	0.60%	0.60%
12) Franklin India Short Term Income Plan (FISTIP)	Short Duration Fund	0.50% within 1 year of allotment	0.85%	0.50%	0.85%	1.35%
13) Franklin India Dynamic Accrual Fund (FIDA)	Dynamic Bond Fund	3% within 12 months, 2.00% for > 12 months & within 24 months, 1.00% > 24 months & within 36 months	0.95%	1.00%	0.95%	1.95%
E - International Funds		.0.50% for >36 months & within 48 months				
Franklin India Feeder - Franklin U.S. Opportunities Fund (FUSOF)	FOF-Overseas-US	1.00% within 3 years of allotment	1.10%	1,50%	1.10%	2.60%
Franklin India Feeder - Franklin U.S. Opportuntles Fund (FUSOF)     Franklin India Feeder - Franklin European Growth Fund (FEGF)	FOF-Overseas-Europe	1.00% within 3 years of allotment 1.00% within 3 years of allotment	1.10%	1,50%	1.10%	2.60%
F - Fund of Funds	I. A. Assistant Edupe	1 Law or ment o years or anothern	1.1979	1.0079	1.1979	2.0079
1) Franklin India Multi - Asset Solution Fund (FIMAS)	FOF-Domestic	1.00% within 3 year of allotment	1.10%	1.50%	1.10%	2.60%
2) Franklin India Life Stage Fund of Funds - 20's Plan (FILSF-20)	FOF-Danesta	1.00% within one year of allotment	1.00%	0.00%	1.00%	1.00%
3) Franklin India Life Stage Fund of Funds - 20's Plan (FILSF-20)	FOF-Damestic	0.75% within one year of allotment	1.00%	0.00%	1.00%	1.00%
4) Franklin India Life Stage Fund of Funds - 40's Plan (FLSF-40)	FOF-Dignestic	0.75% within one year of allotment	1.00%	0.00%	1.00%	1.00%
	I Or During	War at the second of the year of an ordinary				
5) Emphilip India I ifa Staga Fund of Euroda - 50's Plus Plan (E.H. S.E50)	EOE-Domesto	1 00% within one year of allotment	1.00%	0.00%	1.00%	1.00%
5) Franklin India Life Stage Fund of Funds - 50's Plus Plan (FILSF-50) 6) Franklin India Life Stage Fund Of Funds - 50's Plus Floating Bate Plan (FILSF-50+)	FOF-Domestic FOF-Domestic	1.00% within one year of allotment 1.00% within one year of allotment	1.00%	0.00%	1.00%	1.00%



### Statement of Commissions Payable to Distributors - 'Blue' category for 1st January 2020 to 31st March 2020

Name of AMC: HDFC Asset Management Company Limited HDFC Mutual Fund

Name of Mutual Fund:



Brokerage Rate applicable for Lumpsum	& SIP Investments		Trail Year 1 Onwards -	Additional Commission	SIP/STP	3 Years Pa	ayout - T30	3 Years Pa	yout - B30
Scheme Name	Category	Exit Load Period	T30 & B30 APM (p.a)	B30 Cities Trail Yr 1 - APM (p.a.) #	Incentive -	Lumpsum	SIP	Lumpsum	SIP
	Equity Schem	es:							
HDFC Equity Fund	Multi Cap Fund	12 Months	0.90%	1.75%	0.05%	2.70%	2.85%	4.45%	4.60%
HDFC Top 100 Fund	Large Cap Fund	12 Months	0.95%	1.75%	0.05%	2.85%	3.00%	4.60%	4.75%
HDFC Growth Opportunities Fund	Large & Mid Cap Fund	12 Months	1.25%	1.75%	0.05%	3.75%	3.90%	5.50%	5.65%
HDFC Mid Cap Opportunities Fund	Mid Cap Fund	12 Months	0.90%	1.75%	0.05%	2.70%	2.85%	4.45%	4.60%
HDFC Small Cap Fund	Small Cap Fund	12 Months	1.00%	1.75%	0.05%	3.00%	3.15%	4.75%	4.90%
HDFC Capital Builder Value Fund	Value Fund	12 Months	1.05%	1.75%	0.05%	3.15%	3.30%	4.90%	5.05%
HDFC Focused 30 Fund	Focused Fund	12 Months	1.35%	1.75%	0.05%	4.05%	4.20%	5.80%	5.95%
HDFC Infrastructure Fund	Sectoral / Thematic Fund	12 Months	1.30%	1.75%	0.05%	3.90%	4.05%	5.65%	5.80%
HDFC Tax Saver Fund	ELSS	3 Years lock-in	0.95%	1.75%	0.05%	2.85%	3.00%	4.60%	4.75%
	Hybrid Schen								
HDFC Hybrid Debt Fund	Conservative Hybrid Fund	12 Months	0.95%	1.75%	0.05%	2.85%	3.00%	4.60%	4.75%
HDFC Hybrid Equity Fund	Aggressive Hybrid Fund	12 Months	0.90%	1.75%	0.05%	2.70%	2.85%	4.45%	4.60%
HDFC Balanced Advantage Fund	Balanced Advantage Fund	12 Months	0.85%	1.75%	0.05%	2.55%	2.70%	4.30%	4.45%
HDFC Multi-Asset Fund	Multi Asset Allocation	12 Months	1.30%	1.00%	0.05%	3.90%	4.05%	4.90%	5.05%
HDFC Arbitrage Fund	Arbitrage Fund	1 Month	0.50%		-	1.50%	1.50%	1.50%	1.50%
HDFC Equity Savings Fund	Equity Savings Fund	12 Months	1.05%	1.75%	0.05%	3.15%	3.30%	4.90%	5.05%
The second second	Solution Oriented S		210070		0.007.0				
HDFC Retirement Savings Fund	Retirement Fund	\$	1.30%	1.75%	0.05%	3.90%	4.05%	5.65%	5.80%
HDFC Children's Gift Fund	Children's Fund	\$\$	1.10%	1.75%	0.05%	3.30%	3.45%	5.05%	5.20%
	Other Schem	es:							
HDFC Index Fund - NIFTY 50 Plan	Index	3 days	0.15%		-	0.45%	0.45%	0.45%	0.45%
HDFC Index Fund – Sensex Plan	Index	3 days	0.15%	-	-	0.45%	0.45%	0.45%	0.45%
HDFC Dynamic PE Ratio Fund of Funds	FOF	12 Months	0.90%			2.70%	2.70%	2.70%	2.70%
HDFC Gold Fund	Tror	12 Months	0.45%			1.35%	1.35%	1.35%	1.35%
	Debt Scheme	es:							
HDFC Overnight Fund	Overnight Fund	NIL	0.05%			0.15%	0.15%	0.15%	0.15%
HDFC Liquid Fund	Liquid Fund	NIL	0.05%			0.15%	0.15%	0.15%	0.15%
HDFC Ultra Short Term Fund	Ultra Short Duration Fund	NIL	0.30%			0.90%	0.90%	0.90%	0.90%
HDFC Low Duration Fund	Low Duration Fund	NIL	0.60%			1.80%	1.80%	1.80%	1.80%
HDFC Money Market Fund	Money Market Fund	NIL	0.15%			0.45%	0.45%	0.45%	0.45%
HDFC Short Term Debt Fund	Short Duration Fund	NIL	0.15%			0.45%	0.45%	0.45%	0.45%
HDFC Medium Term Debt Fund	Medium Duration Fund	NIL	0.70%		-	2.10%	2.10%	2.10%	2.10%
HDFC Income Fund	Medium to Long Duration Fund	1 Month	1.00%	1.50%		3.00%	3.00%	4.50%	4.50%
HDFC Dynamic Debt Fund	Dynamic Bond Fund	6 Months	0.85%	1.50%	-	2.55%	2.55%	4.05%	4.05%
HDFC Corporate Bond Fund	Corporate Bond Fund	NIL	0.15%			0.45%	0.45%	0.45%	0.45%
HDFC Credit Risk Debt Fund	Credit Risk Fund	18 Months	0.80%	1.50%		2.40%	2.40%	3.90%	3.90%
HDFC Banking and PSU Debt Fund	Banking and PSU Fund	NIL	0.40%			1.20%	1.20%	1.20%	1.20%
HDFC Gilt Fund	Gilt Fund	NIL	0.50%			1.50%	1.50%	1.50%	1.50%
HDFC Floating Rate Debt Fund	Floater Fund	NIL	0.15%			0.45%	0.45%	0.45%	0.45%

APM - Annualised Payable Monthly

@' For all instalments of SIP/STPs registered in the period of 1st January 2020 to 31st March 2020

\$' Lock-in is from the date of investment till the retirement age of investor (i.e. completion of 60 years) or at the end of 5 years from date of investment, whichever is earlier

\$\$' Lock-in is from the date of investment till the child attains age of 18 years or at the end of 5 years from date of investment, whichever is earlier

#I' The additional commission for B-30 cities shall be paid as trail only on investment amount (applicable for individual investors only). In case the investment is redeemed within 12 months, the additional trail commission paid shall be recovered/clawed back/set off fully from the future brokerage payments, at AMC's sole discretion. The inflows of amount upto Rs. 2,00,000/- per transaction, by individual investors shall be eligible for additional commission for mobilisation from B30 cities.

For general terms and conditions, please refer to the next page  $% \left\{ 1,2,\ldots,n\right\}$ 



The information contained herein is solely for private circulation for reading/understanding of registered Advisors/Distributors of ICICI Prudential Asset Management Company and should not be circulated to investors/prospective investors.

## COMMISSION STRUCTURE - 1st January to 31st March 2020 IFA - PRIME PLUS



IFA - PRIME PLUS											NAGEMENT
Only for Select Distributors & Advisors of ICICI Prudential N	Mutual Fund		Across	all cities (T3	0 & B3O)	T30 Cities 3 year	Additional Trail 1st	T30 Cities 3 year	Additional Payout for B30 Business\$	B30 Cities 3 year	B30 Cities 3 year
SCHEME NAME	Fund Positioning	Exit Load	Additional Trail 1st year*	Trail 1st year	Trail 2nd year onwards	pricing Lumpsum	year only for SIP/STP	pricing SIP/STP	Trail 1st year only	pricing Lumpsum	pricing SIP/STP
ICICI Prudential Asset Allocator Fund (FOF)	Fund of Funds	1 Year	0.15%	0.70%	0.70%	2.25%	0.15%	2.40%	0.25%	2.50%	2.65%
EQUITY SCHEMES											
ICICI Prudential Focused Equity Fund	Focused Fund	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.75%	4.30%	4.45%
ICICI Prudential Bharat Consumption Fund	Thematic	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.50%	4.05%	4.20%
ICICI Prudential MNC Fund	Thematic	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.50%	4.05%	4.20%
ICICI Prudential Commodities Fund	Thematic	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.50%	4.05%	4.20%
ICICI Prudential Midcap Fund	Mid Cap Fund	1 Year	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	1.75%	4.15%	4.30%
ICICI Prudential Smallcap Fund	Small Cap Fund	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.25%	3.80%	3.95%
ICICI Prudential Exports and Services Fund	Thematic	15 Days	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	1.75%	4.15%	4.30%
ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D.) Fund	Thematic	15 Days	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	2.00%	4.40%	4.55%
ICICI Prudential Manufacture in India Fund	Thematic	1 Year	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	2.00%	4.40%	4.55%
ICICI Prudential India Opportunities Fund	Thematic	1 Year	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	1.50%	3.90%	4.05%
ICICI Prudential Technology Fund	Sectoral	15 Days	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	2.00%	4.55%	4.70%
ICICI Prudential FMCG Fund	Sectoral	15 Days	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	2.00%	4.55%	4.70%
ICICI Prudential Dividend Yield Equity Fund	Dividend Yield Fund	1 Year	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	2.00%	4.55%	4.70%
ICICI Prudential Value Discovery Fund	Value Fund	1 Year	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	1.75%	3.55%	3.70%
ICICI Prudential Bluechip Fund	Large Cap Fund	1 Year	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	1.50%	3.30%	3.45%
ICICI Prudential Multicap Fund	Multi Cap Fund	1 Year	0.15%	0.65%	0.65%	2.10%	0.15%	2.25%	1.75%	3.85%	4.00%
ICICI Prudential Banking & Financial Services Fund	Sectoral	15 Days	0.15%	0.65%	0.65%	2.10%	0.15%	2.25%	1.75%	3.85%	4.00%
ICICI Prudential Infrastructure Fund	Thematic	15 Days	0.15%	0.75%	0.75%	2.40%	0.15%	2.55%	1.75%	4.15%	4.30%
ICICI Prudential Large & Mid Cap Fund	Large & Mid Cap Fund	1 Month	0.15%	0.65%	0.65%	2.10%	0.15%	2.25%	1.50%	3.60%	3.75%
ICICI Prudential US Bluechip Equity Fund	Thematic	1 Month	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	2.00%	3.80%	3.95%
ICICI Prudential Long Term Equity Fund (Tax Saving)	ELSS	3 yr lock in	0.15%	0.65%	0.65%	2.10%	0.15%	2.25%	1.75%	3.85%	4.00%
HYBRID SCHEMES											
ICICI Prudential Balanced Advantage Fund	Balanced Advantage Fund	1 Year	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	1.75%	3.55%	3.70%
ICICI Prudential Equity & Debt Fund	Aggressive Hybrid Fund	1 Year	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	1.75%	3.55%	3.70%
ICICI Prudential Multi-Asset Fund	Multi Asset Allocation	1 Year	Nil	0.60%	0.60%	1.80%	0.15%	1.95%	1.75%	3.55%	3.70%
ICICI Prudential Regular Savings Fund	Conservative Hybrid Fund	1 Year	Nil	0.60%	0.60%	1.80%	Nil	1.80%	2.00%	3.80%	3.80%
ICICI Prudential Equity Savings Fund	Equity Savings Fund	15 Days	Nil	0.60%	0.60%	1.80%	Nil	1.80%	2.00%	3.80%	3.80%
ICICI Prudential Equity Arbitrage Fund	Arbitrage Fund	1 Month	Nil	0.40%	0.40%	1.20%	Nil	1.20%	Nil	1.20%	1.20%
SOLUTION ORIENTED SCHEME											
ICICI Prudential Child Care Fund (Gift Plan)	Solution Oriented Scheme	Nil	0.15%	0.80%	0.80%	2.55%	0.15%	2.70%	1.75%	4.30%	4.45%
(5yr lock-in or majority age whichever is earlier) ICICI Prudential Retirement Fund - Pure Equity Plan	Solution Oriented Scheme	Nil	0.15%	0.85%	0.85%	2.70%	0.15%	2.85%	1.75%	4.45%	4.60%
ICICI Prudential Retirement Fund - Hybrid Aggressive Plan	Solution Oriented Scheme	(5yr lock-in /	0.15%	0.85%	0.85%	2.70%	0.15%	2.85%	1.50%	4.20%	4.35%
ICICI Prudential Retirement Fund - Hybrid Conservative Plan	Solution Oriented Scheme	Retirement age	0.15%	0.85%	0.85%	2.70%	Nil	2.70%	Nil	2.70%	2.70%
ICICI Prudential Retirement Fund - Pure Debt Plan	Solution Oriented Scheme	whichever is earlier)	0.15%	0.85%	0.85%	2.70%	Nil	2.70%	2.00%	4.70%	4.70%
DEBT SCHEMES			0.1070	5.5576	5.5574	2070	.411	2070	2.0070		1070
ICICI Prudential Credit Risk Fund	Credit Risk Fund	1 Year	Nil	0.50%	0.50%	1.50%	Nil	1.50%	2.00%	3.50%	3.50%
ICICI Prudential Medium Term Bond Fund	Medium Duration Fund	1 Year	Nil	0.60%	0.60%	1.80%	Nil	1.80%	2.00%	3.80%	3.80%
ICICI Prudential All Seasons Bond Fund	Dynamic Bond Fund	1 Month	Nil	0.60%	0.60%	1.80%	Nil	1.80%	Nil	1.80%	1.80%
ICICI Prudential Long Term Bond Fund	Long Duration Fund	Nil	Nil	0.60%	0.60%	1.80%	Nil	1.80%	Nil	1.80%	1.80%
reserved configuration and	cong suration runa	1 1411	1411	0.0070	0.0076	1,00 /0	1411	1/00/0	1411	1.0070	1,0070



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#### COMMISSION STRUCTURE - 1st January to 31st March 2020 IFA - PRIME PLUS

*@ICICI* 

Only for Select Distributors & Advisors of ICICI Prudential Mutual Fund			Across all cities (T30 & B30)			T30 Cities 1 year	Additional Trail 1st	T30 Cities 1 year	Additional Payout for B30 Business\$	B30 Cities 1 year	B30 Cities 1 year
SCHEME NAME	Fund Positioning	Exit Load	Additional Trail 1st year*	Trail 1st year	Trail 2nd year onwards	pricing Lumpeum	year only for SIP/STP	pricing SIP/STP	Trail 1st year only	pricing Lumpsum	pricing SIP/STP
DEBT SCHEMES											
ICICI Prudential Short Term Fund	Short Duration Fund	Nil	Nil	0.50%	0.50%	0.50%	Nil	0.50%	Nil	0.50%	0.50%
ICICI Prudential Gilt Fund	Gilt Fund	Nil	Nil	0.50%	0.50%	0.50%	Nil	0.50%	Nil	0.50%	0.50%
ICICI Prudential Floating Interest Fund	Floater Fund	Nil	Nil	0.60%	0.50%	0.60%	Nil	0.60%	Nil	0.60%	0.60%
ICICI Prudential Ultra Short Term Fund	Ultra Short Duration Fund	Nil	Nil	0.50%	0.50%	0.50%	Nil	0.50%	Nil	0.50%	0.50%
ICICI Prudential Bond Fund	Medium to Long Duration Fund	6 Months	Nil	0.30%	0.30%	0.30%	Nil	0.30%	Nil	0.30%	0.30%
ICICI Prudential Banking & PSU Debt Fund	Banking and PSU Fund	Nil	Nil	0.25%	0.25%	0.25%	Nil	0.25%	Nil	0.25%	0.25%
ICICI Prudential Corporate Bond Fund	Corporate Bond Fund	Nil	Nil	0.20%	0.20%	0.20%	Nil	0.20%	Nil	0.20%	0.20%
ICICI Prudential Constant Maturity Gilt Fund	Gilt Fund with 10 Year Constant maturity	Nil	Nil	0.15%	0.15%	0.15%	Nil	0.15%	Nil	0.15%	0.15%
ICICI Prudential Money Market Fund	Money Market Fund	Nil	Nil	0.10%	0.05%	0.10%	Nil	0.10%	Nil	0.10%	0.10%
ICICI Prudential Savings Fund	Low Duration Fund	Nil	Nil	0.10%	0.05%	0.10%	Nil	0.10%	Nil	0.10%	0.10%
ICICI Prudential Overnight Fund	Overnight Fund	Nil	Nil	0.05%	0.05%	0.05%	Nil	0.05%	Nil	0.05%	0.05%
ICICI Prudential Liquid Fund	Liquid Fund	6 Days^	Nil	0.05%	0.05%	0.05%	Nil	0.05%	Nil	0.05%	0.05%
OTHER SCHEMES											
Index Funds											
ICICI Prudential Nifty Next 50 Index Fund	Index Funds	Nil	Nil	0.40%	0.40%	0.40%	Nil	0.40%	Nil	0.40%	0.40%
ICICI Prudential Nifty Index Fund	Index Funds	Nil	Nil	0.10%	0.10%	0.10%	Nil	0.10%	Nil	0.10%	0.10%
ICICI Prudential Sensex Index Fund	Index Funds	Nil	Nil	0.10%	0.10%	0.10%	Nil	0.10%	Nil	0.10%	0.10%
Fund of Funds											
ICICI Prudential Global Stable Equity Fund (FOF)	Fund of Funds	1 Month	Nil	0.60%	0.60%	0.60%	Nil	0.60%	2.00%	2.60%	2.60%
ICICI Prudential Global Advantage Fund (FOF)	Fund of Funds	1 Year	Nil	0.50%	0.50%	0.50%	Nil	0.50%	Nil	0.50%	0.50%
ICICI Prudential Thematic Advantage Fund (FOF)	Fund of Funds	1 Year	Nil	0.70%	0.70%	0.70%	Nil	0.70%	Nil	0.70%	0.70%
ICICI Prudential Debt Management Fund (FOF)	Fund of Funds	1 Month	Nil	0.40%	0.40%	0.40%	Nil	0.40%	Nil	0.40%	0.40%
ICICI Prudential Passive Strategy Fund (FOF)	Fund of Funds	1 Year	Nil	0.20%	0.20%	0.20%	Nil	0.20%	Nil	0.20%	0.20%
ICICI Prudential Moderate Fund (FOF)	Fund of Funds	1 Month	Nil	0.20%	0.20%	0.20%	Nil	0.20%	Nil	0.20%	0.20%
ICICI Prudential Regular Gold Savings Fund (FOF)	Fund of Funds	15 Days	Nil	0.30%	0.30%	0.30%	Nil	0.30%	Nil	0.30%	0.30%

w SIP/STP registered - Trail brokerage would be applicable as on Trade date / Instal

SIP-STP Applications - Trail brokerage would be same as normal purchase application as mentioned above. SIP/STP registered at 830 Location will get respective additional trail payout on per installment basis. Subject to revision on prospective basis.

For all Switches - Trail brokerage would be same as normal purchase application.

\*Additional Trail 1st year - Additional Trail is applicable for fresh mobilization, Switches & SIP / STP application registered during the contest period from 1st January to 31st March 2020. The same is not applicable for SIPs eligible for Upfronting of Trail commission.

The exit load on liquid funds will be applicable from 20.10.2019 in the Graded Exit Load manner as introduced by SEBI Circular dt 20.09.2019, AMFTs letter to SEBI dt. 11.10.2019 and SEBI's letter to AMFI dt. 15.10.2019.

Pursuant to the SEBI Circular SEBI/HO/IMD/DF2/CIR/P/2018/137, dated 22nd Oct 2018. B30 incentive shall be paid on trail basis for 'Retail investor' from B30 locations for 1st year only. As per SEBI circular SEBI/HO/IMD/DF2/CIR/P/2019/42 effect from 15th April 2019 the inflows of nount upto Rs 2,00,000/- per transaction, by individual investors shall be considered as inflows from "retail investor". As per AMFI circular 135/BP/82/2019-20, dated 10th October 2019, threshold limit of Rs 2,00,000/- as specified in SEBI circular dated 25-Mar-2019 shall be nsidered by clubbing all transactions received on the same day from an individual investor in particular scheme.

s "Additional payout for B30 Business" will be completely clawed back / recovered from future brokerage payments in case the investment is redeemed / Switched Out / Systematically Transferred within 1 year from date of investment.

S"Additional payout for B30 Business" will be completely clawed back / recovered from future brokerage payments in case the investment is redeemed / Switched Out / Systematically Transferred within 1 year from date of investment.

"B – 30" cities are cities other than Mumbai (including Thane & Navi Mumbai), Dehi (including NCR), Bangalore, Kolkata, Pune, Ahmedabad, Chenni, Hyderabad (including Secundrabad), Vadodara (Baroda), Jaipur, Surat, Lucknow, Kanpur, Nagpur, Udaipur, Indore, Ludhiana, Nask, Coimbatore, Chandigarh, Patna, Bhopad, Rajot, Guwahati, Jamshedpur, Ranchi, Bhubaneshwar, Dehradun, Visakhapatham, Raipur collectively referred to as "T – 30" cities. Any hivestments from above mentioned Ties / Pin code categories will be eligible for brokerage payment as per T-30 brokerage structure. In addition to above mentioned Top 30 cities, the NRI investments will be considered as T-30 investments for the purpose of brokerage payment.

Terms: The transactions will be subject to terms and conditions as mentioned in the Scheme information Document (BID) & Statement of Additional Information (SA) and shall be binding on the distributor. The Commission mentioned hereinabove is solely payable to AMFINISM certified distributors and can be changed by the AMC at its sole discretion without any prior infination or notification. The Commission given above is inclusive of all taxes/charges/levy on these arrangements/transactions. The taxes shall be borne by the distributor and the same shall be deducted at the time of payment of commission at the applicable rates. The AMC shall not be responsible for any losses incurred by any one due to change in the Commission shall be subject to clawback provisions, as applicable. The Bistributor should abide by the code of conduct and rules/regulations laid down by SEBI and AMFI, Also, it is specifically mentioned that the Distributor who is found violating the rules, regulations and Code of conduct. The AMC reserves the right to suspend the Commission payable, if it is trought to und

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.



## Nippon India Mutual Fund

Brokerage Structure for - 01/01/2020 to 31/01/2020

Category: Superior

Structure applicable to :All Purchases

Schemename	Clawback Period (Days)	Distribution Incentive- T15 (%)	First year Trail -T15 (p.a.)(%)	Long Term Trail - T15 (p.a.) (%)	Distribution Incentive- B15(%)	First year Trail -B15 (p.a.)(%)	Long Term Trail - B15 (p.a.) (%)	ARI-B15 (%)
EQUITY				1.37			The said I had	
NIPPON INDIA ARBITRAGE FUND	NA	-	0.50	0.50	-	0.50	0.50	-
NIPPON INDIA BANKING FUND	NA		1.15	1.15		1.15	1.15	1.50
NIPPON INDIA LARGE CAP FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA MULTI CAP FUND	NA	-	1.15	1.15	-	1.15	1.15	1.75
NIPPON INDIA EQUITY SAVINGS FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA GROWTH FUND	NA	-	1.25	1.25	-	1.25	1.25	1.75
NIPPON INDIA VISION FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA - JAPAN EQUITY FUND	NA	-	1.00	1.00	-	1.00	1.00	1.50
NIPPON INDIA FOCUSED EQUITY FUND	NA	-	1.05	1.05	-	1.05	1.05	1.75
NIPPON INDIA CONSUMPTION FUND	NA	-	1.25	1.25	-	1.25	1.25	1.50
NIPPON INDIA BALANCED ADVANTAGE FUND	NA	-	1.30	1.30	-	1.30	1.30	1.50
NIPPON INDIA INDEX FUND - NIFTY PLAN	NA	-	0.75	0.75	-	0.75	0.75	-
NIPPON INDIA PHARMA FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA POWER & INFRA FUND	NA	-	1.15	1.15	-	1.15	1.15	1.50
NIPPON INDIA QUANT FUND	NA	-	0.70	0.70	-	0.70	0.70	1.50
NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME	NA	-	1.20	1.20	-	1.20	1.20	1.50
NIPPON INDIA SMALL CAP FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA VALUE FUND	NA	-	1.20	1.20	-	1.20	1.20	1.50
NIPPON INDIA INDEX FUND - SENSEX PLAN	NA	-	0.30	0.30	-	0.30	0.30	-
NIPPON INDIA TAX SAVER (ELSS) FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA - US EQUITY OPPORTUNITES FUND BALANCED	NA	-	1.00	1.00	-	1.00	1.00	1.50
NIPPON INDIA EQUITY HYBRID FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
FOF								
NIPPON INDIA GOLD SAVINGS FUND	NA	-	0.30	0.30	-	0.30	0.30	-
NIPPON INDIA JUNIOR BEES FOF	NA	-	0.25	0.25	-	0.25	0.25	-
DEBT								
NIPPON INDIA BANKING & PSU DEBT FUND	NA	-	0.35	0.35	-	0.35	0.35	-
NIPPON INDIA STRATEGIC DEBT FUND	NA	-	0.80	0.80	-	0.80	0.80	-
NIPPON INDIA ULTRA SHORT DURATION FUND	NA	-	0.75	0.75	-	0.75	0.75	
NIPPON INDIA FLOATING RATE FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA INCOME FUND	NA	-	1.10	0.90	-	1.10	0.90	-
NIPPON INDIA PRIME DEBT FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA LOW DURATION FUND	NA	-	0.45	0.45	-	0.45	0.45	-
NIPPON INDIA MONEY MARKET FUND	NA	-	0.05	0.05	-	0.05	0.05	-
NIPPON INDIA HYBRID BOND FUND	NA	-	1.15	1.15	-	1.15	1.15	1.00
NIPPON INDIA DYNAMIC BOND FUND	NA	-	0.85	0.85	-	0.85	0.85	-
NIPPON INDIA NIVESH LAKSHYA FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA CREDIT RISK FUND	NA		1.00	1.00	-	1.00	1.00	0.50
NIPPON INDIA SHORT TERM FUND	NA		0.75	0.50	-	0.75	0.50	-
GLT								
NIPPON INDIA GILT SECURITIES FUND	NA		0.90	0.90	-	0.90	0.90	-
LIQUID								
NIPPON INDIA LIQUID FUND	NA		0.05	0.05	-	0.05	0.05	-
NIPPON INDIA OVERNIGHT FUND	NA	-	0.07	0.07	-	0.07	0.07	



### Nippon India Mutual Fund

Brokerage Structure for - 01/02/2020 to 29/02/2020

Category : Superior

Structure applicable to :All Purchases

Schemename	Clawback Period (Days)	Distribution Incentive- T15 (%)	First year Trail -T15 (p.a.)(%)	Long Term Trail - T15 (p.a.) (%)	Distribution Incentive- B15(%)	First year Trail -B15 (p.a.)(%)	Long Term Trail - B15 (p.a.) (%)	ARI-B15 (%)
EQUITY				1/3/			gridity (10)	
NIPPON INDIA ARBITRAGE FUND	NA	-	0.50	0.50	-	0.50	0.50	-
NIPPON INDIA BANKING FUND	NA	-	1.15	1.15		1.15	1.15	1.50
NIPPON INDIA LARGE CAP FUND	NA		1.10	1.10		1.10	1.10	1.75
NIPPON INDIA MULTI CAP FUND	NA	-	1.15	1.15	1.	1.15	1.15	1.75
NIPPON INDIA EQUITY SAVINGS FUND	NA		1.10	1.10		1.10	1.10	1.50
NIPPON INDIA GROWTH FUND	NA		1.25	1.25		1.25	1.25	1.75
NIPPON INDIA VISION FUND	NA NA		1.10	1.10		1.10	1.10	1.50
NIPPON INDIA - JAPAN EQUITY FUND	NA NA	-	1.00	1.00	1.	1.00	1.00	1.50
	NA NA	-	1.05	1.05	-	1.05		1.75
NIPPON INDIA CONSUMPTION FUND	NA NA	-	1.05	1.05	-	1.05	1.05	1.50
NIPPON INDIA CONSUMPTION FUND		-			+			
NIPPON INDIA INDEX FUND - NIETY PLAN	NA NA	f -	0.75	0.75	1.	0.75	0.75	1.50
NIPPON INDIA BHARMA FUND		<del></del>			H .			1.50
NIPPON INDIA PHARMA FUND	NA NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA POWER & INFRA FUND	NA	-	1.15	1.15	-	1.15	1.15	1.50
NIPPON INDIA QUANT FUND NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME	NA NA	-	1.20	1.20	-	1.20	1.20	1.50
NIPPON INDIA SMALL CAP FUND	NA	-	1.10	1.10		1.10	1.10	1.75
NIPPON INDIA VALUE FUND	NA NA	-	1.20	1.20	1.	1.20	1.20	1.50
	NA NA		0.15	0.15	-	0.15	0.15	1.50
NIPPON INDIA TAY SAVER (ELSS) ELIND	NA NA	-		-	-	1.10	1.10	1.75
NIPPON INDIA TAX SAVER (ELSS) FUND		-	1.10	1.10	-			1.75
NIPPON INDIA - US EQUITY OPPORTUNITES FUND	NA	-	1.00	1.00	-	1.00	1.00	1.50
BALANCED			1.10			1.10	1.10	1.50
NIPPON INDIA EQUITY HYBRID FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
FOF			0.00	0.00	+	0.00	0.00	-
NIPPON INDIA GOLD SAVINGS FUND	NA	-	0.30	0.30	-	0.30	0.30	-
NIPPON INDIA JUNIOR BEES FOF DEBT	NA	-	0.15	0.15		0.15	0.15	-
NIPPON INDIA BANKING & PSU DEBT FUND	NA	-	0.35	0.35	-	0.35	0.35	-
NIPPON INDIA STRATEGIC DEBT FUND	NA	-	0.80	0.80	-	0.80	0.80	-
NIPPON INDIA ULTRA SHORT DURATION FUND	NA	-	0.75	0.75	-	0.75	0.75	-
NIPPON INDIA FLOATING RATE FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA INCOME FUND	NA	-	1.10	0.90	-	1.10	0.90	-
NIPPON INDIA PRIME DEBT FUND	NA	-	0.25	0.25	-	0.25	0.25	
NIPPON INDIA LOW DURATION FUND	NA	-	0.50	0.50	-	0.50	0.50	-
NIPPON INDIA MONEY MARKET FUND	NA	-	0.05	0.05	-	0.05	0.05	
NIPPON INDIA HYBRID BOND FUND	NA	-	1.15	1.15	-	1.15	1.15	1.00
NIPPON INDIA DYNAMIC BOND FUND	NA	-	0.85	0.85	-	0.85	0.85	-
NIPPON INDIA NIVESH LAKSHYA FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA CREDIT RISK FUND	NA	-	1.00	1.00	-	1.00	1.00	0.50
NIPPON INDIA SHORT TERM FUND	NA	-	0.75	0.50	-	0.75	0.50	
GLT								
NIPPON INDIA GILT SECURITIES FUND	NA	-	0.90	0.90	-	0.90	0.90	-
NIPPON INDIA LIQUID FUND	NA	-	0.05	0.05	-	0.05	0.05	-
NIPPON INDIA OVERNIGHT FUND	NA NA		0.07	0.07		0.07	0.07	



## Nippon India Mutual Fund

Brokerage Structure for - 01/03/2020 to 31/03/2020

Category: Superior

Structure applicable to :All Purchases

Schemename	Clawback Period (Days)	Distribution Incentive- T15 (%)	First year Trail -T15 (p.a.)(%)	Long Term Trail - T15 (p.a.) (%)	Distribution Incentive- B15(%)	First year Trail -B15 (p.a.)(%)	Long Term Trail - B15 (p.a.) (%)	ARI-B15 (%)
EQUITY				17			1,000	
NIPPON INDIA ARBITRAGE FUND	NA	-	0.50	0.50	-	0.50	0.50	-
NIPPON INDIA BANKING FUND	NA	-	1.15	1.15	-	1.15	1.15	1.50
NIPPON INDIA LARGE CAP FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA MULTI CAP FUND	NA	-	1.15	1.15	-	1.15	1.15	1.75
NIPPON INDIA EQUITY SAVINGS FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA GROWTH FUND	NA	-	1.25	1.25	-	1.25	1.25	1.75
NIPPON INDIA VISION FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA - JAPAN EQUITY FUND	NA	-	1.00	1.00	-	1.00	1.00	1.50
NIPPON INDIA FOCUSED EQUITY FUND	NA	-	1.05	1.05	-	1.05	1.05	1.75
NIPPON INDIA CONSUMPTION FUND	NA	-	1.25	1.25	-	1.25	1.25	1.50
NIPPON INDIA BALANCED ADVANTAGE FUND	NA	-	1.30	1.30	-	1.30	1.30	1.50
NIPPON INDIA INDEX FUND - NIFTY PLAN	NA	-	0.75	0.75	-	0.75	0.75	
NIPPON INDIA PHARMA FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA POWER & INFRA FUND	NA	-	1.15	1.15	-	1.15	1.15	1.50
NIPPON INDIA QUANT FUND	NA	-	0.70	0.70	-	0.70	0.70	1.50
NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME	NA	-	1.20	1.20	-	1.20	1.20	1.50
NIPPON INDIA SMALL CAP FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA VALUE FUND	NA	-	1.20	1.20	-	1.20	1.20	1.50
NIPPON INDIA INDEX FUND - SENSEX PLAN	NA	-	0.15	0.15	-	0.15	0.15	-
NIPPON INDIA TAX SAVER (ELSS) FUND	NA	-	1.10	1.10	-	1.10	1.10	1.75
NIPPON INDIA - US EQUITY OPPORTUNITES FUND	NA	-	1.00	1.00	-	1.00	1.00	1.50
BALANCED								
NIPPON INDIA EQUITY HYBRID FUND	NA	-	1.10	1.10	-	1.10	1.10	1.50
FOF								
NIPPON INDIA GOLD SAVINGS FUND	NA	-	0.30	0.30	-	0.30	0.30	-
NIPPON INDIA JUNIOR BEES FOF	NA	-	0.15	0.15	-	0.15	0.15	-
DEBT								
NIPPON INDIA BANKING & PSU DEBT FUND	NA	-	0.35	0.35	-	0.35	0.35	-
NIPPON INDIA STRATEGIC DEBT FUND	NA	-	0.80	0.80	-	0.80	0.80	-
NIPPON INDIA ULTRA SHORT DURATION FUND	NA	-	0.75	0.75	-	0.75	0.75	-
NIPPON INDIA FLOATING RATE FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA INCOME FUND	NA	-	1.10	0.90	-	1.10	0.90	-
NIPPON INDIA PRIME DEBT FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA LOW DURATION FUND	NA	-	0.50	0.50	-	0.50	0.50	-
NIPPON INDIA MONEY MARKET FUND	NA	-	0.05	0.05	-	0.05	0.05	-
NIPPON INDIA HYBRID BOND FUND	NA	-	1.15	1.15	-	1.15	1.15	1.00
NIPPON INDIA DYNAMIC BOND FUND	NA	-	0.85	0.85	-	0.85	0.85	-
NIPPON INDIA NIVESH LAKSHYA FUND	NA	-	0.25	0.25	-	0.25	0.25	-
NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME	NA	-	1.10	1.10	-	1.10	1.10	1.50
NIPPON INDIA CREDIT RISK FUND	NA	-	1.00	1.00	-	1.00	1.00	0.50
NIPPON INDIA SHORT TERM FUND	NA	-	0.75	0.50	-	0.75	0.50	-
GILT								
NIPPON INDIA GILT SECURITIES FUND	NA	-	0.90	0.90	-	0.90	0.90	-
LIQUID								
NIPPON INDIA LIQUID FUND	NA	-	0.05	0.05	-	0.05	0.05	-
NIPPON INDIA OVERNIGHT FUND	NA	-	0.07	0.07		0.07	0.07	



Page 1 of 2		Brokerage	Structure	)	Down	loaded on 6 Feb 2	020 14:57
Distributor Code : ARN-66912		Distributor Name	:	29K INVESTME	NT ADVISERS PV	/T LTD	
Scheme Name	From Date	To Date	Trail 1st Year	Trail 2nd Year	Trail 3rd Year	Trail 4th Year	*B30 Spl Trail**
SBI MAGNUM TAXGAIN SCHEME	01-JAN-20	31-MAR-20	1.05	1.05	1.05	1.05	2
SBI FOCUSED EQUITY FUND	01-JAN-20	31-MAR-20	1.05	1.05	1.05	1.05	1.25
SBI BLUE CHIP FUND	01-JAN-20	31-MAR-20	0.95	0.95	0.95	0.95	1.65
SBI EQUITY MINIMUM VARIANCE FUND	01-JAN-20	31-MAR-20	0.35	0.35	0.35	0.35	0
SBI MAGNUM MIDCAP FUND	01-JAN-20	31-MAR-20	1.20	1.20	1.20	1.20	1.35
SBI SMALLCAP FUND	01-JAN-20	31-MAR-20	1.10	1.10	1.10	1.10	0.60
SBI LARGE AND MIDCAP FUND	01-JAN-20	31-MAR-20	1.20	1.20	1.20	1.20	1.75
SBI MAGNUM MULTICAP FUND	01-JAN-20	31-MAR-20	1.10	1.10	1.10	1.10	1.45
SBI EQUITY HYBRID FUND	01-JAN-20	31-MAR-20	0.95	0.95	0.95	0.95	1.75
SBI MULTI ASSET ALLOCATION FUND	01-JAN-20	31-MAR-20	1.05	1.05	1.05	1.05	1.00
SBI DYNAMIC ASSET ALLOCATION FUND	01-JAN-20	31-MAR-20	1.00	1.00	1.00	1.00	1.50
SBI CONTRA FUND	01-JAN-20	31-MAR-20	1.30	1.30	1.30	1.30	1.75
SBI INFRASTRUCTURE FUND	01-JAN-20	31-MAR-20	1.35	1.35	1.35	1.35	2
SBI PSU FUND	01-JAN-20	31-MAR-20	1.35	1.35	1.35	1.35	1.75
SBI BANKING AND FINANCIAL SERVICES FUND	01-JAN-20	31-MAR-20	1.35	1.35	1.35	1.35	1.25
SBI HEALTHCARE OPPORTUNITIES FUND	01-JAN-20	31-MAR-20	1.25	1.25	1.25	1.25	1.25
SBI TECHNOLOGY OPPORTUNITIES FUND	01-JAN-20	31-MAR-20	1.35	1.35	1.35	1.35	1.00
SBI CONSUMPTION OPPORTUNITIES FUND	01-JAN-20	31-MAR-20	1.30	1.30	1.30	1.30	1.75
SBI MAGNUM EQUITY ESG FUND	01-JAN-20	31-MAR-20	1.15	1.15	1.15	1.15	1.55
SBI MAGNUM GLOBAL FUND	01-JAN-20	31-MAR-20	1.10	1.10	1.10	1.10	1.70
SBI MAGNUM COMMA FUND	01-JAN-20	31-MAR-20	1.35	1.35	1.35	1.35	1.75
SBI ARBITRAGE OPPORTUNITIES FUND	01-JAN-20	31-MAR-20	0.50	0.50	0.50	0.50	0
SBI NIFTY INDEX FUND	01-JAN-20	31-MAR-20	0.30	0.30	0.30	0.30	0
SBI DEBT HYBRID FUND	01-JAN-20	31-MAR-20	1.00	1.00	1.00	1.00	1.00
SBI SAVINGS FUND	01-JAN-20	31-MAR-20	0.50	0.50	0.50	0.50	0
SBI EQUITY SAVINGS FUND	01-JAN-20	31-MAR-20	1.00	1.00	1.00	1.00	2
SBI MAGNUM CHILDRENS BENEFIT FUND	01-JAN-20	31-MAR-20	1.30	1.30	1.30	1.30	0
SBI GOLD FUND	01-JAN-20	31-MAR-20	0.20	0.20	0.20	0.20	0
SBI MAGNUM INCOME FUND	01-JAN-20	31-MAR-20	0.90	0.90	0.90	0.90	0
SBI DYNAMIC BOND FUND	01-JAN-20	31-MAR-20	0.95	0.95	0.95	0.95	0
SBI CREDIT RISK FUND	01-JAN-20	31-MAR-20	1.00	1.00	1.00	1.00	0
SBI CORPORATE BOND FUND	01-JAN-20	31-MAR-20	0.50	0.50	0.50	0.50	0
SBI MAGNUM CONSTANT MATURITY FUND	01-JAN-20	31-MAR-20	0.35	0.35	0.35	0.35	0
SBI MAGNUM GILT FUND	01-JAN-20	31-MAR-20	0.60	0.60	0.60	0.60	0
SBI MAGNUM MEDIUM DURATION FUND	01-JAN-20	31-MAR-20	0.65	0.65	0.65	0.65	0
SBI BANKING AND PSU FUND	01-JAN-20	31-MAR-20	0.50	0.50	0.50	0.50	0
SBI SHORT TERM DEBT FUND	01-JAN-20	31-MAR-20	0.50	0.50	0.50	0.50	0
SBI MAGNUM LOW DURATION FUND	01-JAN-20	31-MAR-20	0.60	0.60	0.60	0.60	0
SBI LIQUID FUND	01-JAN-20	31-MAR-20	0.10	0.10	0.10	0.10	0
SBI MAGNUM ULTRA SHORT DURATION FUND	01-JAN-20	31-MAR-20	0.20	0.20	0.20	0.20	0
SBI OVERNIGHT FUND	01-JAN-20	31-MAR-20	0.05	0.05	0.05	0.05	0



Brokerage Structure			
able from 1st January 2020 to	31st March 2020		
	T - 30 & B - 30		
Exit Load	Trail 1st Year	Trail 4th Year Onwards	(B-30) Special Incentive 1st Year Trail (apm
Solutions			
Refer SID	1.15%	1.15%	1.50%
Refer SID	1.00%	1.00%	1.50%
Refer SID	0.95%	0.95%	1.50%
			1.50%
	0.55 %	0.5076	1.00%
	1.25%	1.00%	1.50%
	1.2070	13000	1.5010
1% - 12 months	1.15%	1.15%	1.50%
1% - 365 Days	1.00%	1.00%	1.50%
1% - 365 Days	0.95%	0.95%	1.50%
1% - 12 months	0.80%	0.80%	1.50%
1% - 12 months	0.75%	0.75%	1.50%
1% - 365 Days	0.90%	0.90%	1.50%
1% - 365 Days	0.60%	0.60%	1.50%
1% - 365 Days	0.75%	0.75%	1.50%
1% - 365 Days	1.10%	1.10%	1.50%
1% - 365 Days	1.25%	1.25%	1.50%
0.25% - 90 Days	0.95%	0.95%	1.50%
0.25% - 3 months	1.05%	1.05%	1.50%
0.25% - 3 months	0.95%	0.95%	1.50%
1% - 12 months	0.80%	0.80%	1.50%
0.25% - 3 months	0.95%	0.95%	1.50%
0.25% - 3 months	0.95%	0.95%	1.50%
0.25% - 3 months	0.95%	0.95%	1.50%
Arbitrage Fund			
0.25% - 1 months	0.80%	0.80%	
Index Funds			
0.25% - 7 Days	0.10%	0.10%	
0.25% - 7 Days	0.10%	0.10%	
NIL	0.50%	0.50%	
0.50% - 30 Days	0.65%	0.65%	
1.00% - 365 Days	0.65%	0.65%	
Refer SID	0.35%	0.35%	
NIL	0.35%	0.35%	
Gilt Funds	2.22.79	3.00.0	
NIL	0.35%	0.35%	
Ultra Short Funds	1		
NIL	0.10%	0.10%	
NIL	0.70%	0.70%	
NIL	0.05%	0.05%	
Liquid Funds			
NIL	0.15%	0.15%	
	Solutions   Refer SID   RIL   Refer SID   RIL   Refer SID   RIL   Refer SID   RIL   RIL	T - 30   Trail 1st Year	Exit Load